



#4 Sector #

PATENT

Attorney Docket No. 225133600011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lee et al.

Serial No.: 09/864,045  
Filed: May 23, 2001  
For: COMPUTER-IMPLEMENTED SPEECH EXPECTATION-BASED  
PROBABILITY METHOD AND SYSTEM

COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
FOR NONPROVISIONAL APPLICATION**

This replies to the Notice to File Missing Parts of Nonprovisional Application dated July 20, 2001. A copy of the Notice is enclosed.

Declaration and Power of Attorney

No declaration or oath was filed. Enclosed is the declaration for this application.

Substitute Drawings

Enclosed are substitute drawings in compliance with 37 CFR 1.84.

Completion of Fees

A check in the amount of \$65.00 is enclosed for the late filing of the declaration or oath.

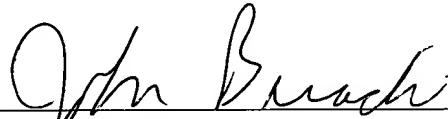
I hereby certify that this correspondence is being deposited today with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231  
on September 20, 2001

By: Kathie J. Kopczyk

Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper only to Jones, Day, Reavis & Pogue Deposit Account No. 50-1432, account 225133600011.

Respectfully submitted,

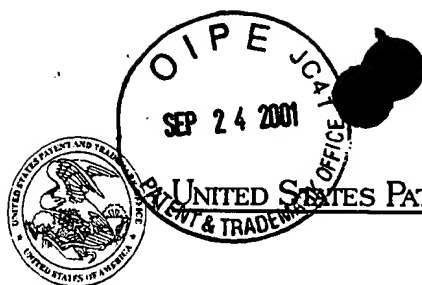


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Date: Sep. 20, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,045	05/23/2001	Lee	225133600011

CONFIRMATION NO. 9971

## FORMALITIES LETTER



\*OC000000006322282\*

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North Point, 901 Lakeside Avenue  
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Date Mailed: 07/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/25/2001 SNINASS1 00000056 09864045

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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